

Title

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Thomas G. Woolston

Art Unit : Unknown Examiner : Unknown

Filed : April 13, 2004

: CONSIGNMENT NODES

Commissioner for Patents

Serial No.: 10/824,322

P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT AND NOTICE OF LITIGATION UNDER MPEP 2001.06(C)

Applicants call attention to the attached Information Disclosure Statement and documents listed on the attached form PTO-1449.

The examiner's attention is also drawn to documents A641-A667 which were filed in litigation between the assignee of the above-referenced application and eBay, Inc., in the case MercExchange L.L.C. v. eBay, Inc. et. al., Civil Action No. 2:01-CV-736, in the U.S. District Court for the Eastern District of Virginia, involving the assignee of the above-referenced application, and at least one patent that is a family member to the above-referenced application. Applicants make no representations herein regarding the materiality of the documents submitted herewith. Applicants are merely making these documents available to the Patent Office as a result of the contentions of the parties in the above-referenced litigation.

For the examiner's convenience, copies of the references listed on the attached form PTO-1449 are being provided on two compact discs filed herewith. Paper copies will be provided if requested by the examiner.

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

April 28, 2004
Date of Deposit

Jennifer H. Payne

Typed or Printed Name of Person Signing Certificate

Signature

Applicant: Thomas G. Wools Serial No.: 10/824,322 Filed: April 13, 2004 Ano. 3's Docket No.: 13466-002016

Page : 2 of 2

This statement is being filed before receipt of a first Office action on the merits. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: _____April 28, 2004

John C Phillips Reg. No. 35,322

Fish & Richardson P.C. PTO Customer No. 20985 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070 Facsimile: (858) 678-5099

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m PTO-1449

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13466-002016

Applicant

Application No. 10/824.322

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Thomas G. Woolston Filing Date April 13, 2004

Group Art Unit

(37 CER 61 98/h))

U.S. Patent Documents							Filing Date
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	If Appropriate
	AA	3,573,747	04/06/1971	Adams et al			
	AB	3,581,072	5/25/1971	Nymeyer			
	AC	4,247,759	1/27/1981	Yuris, et al.			
	AD	4,270,042	5/26/1981	Case			
1	AE	4,305,059	12/08/1981	Benton			
	AF	4,346,442	8/24/1982	Musmanno			
	AG	4,449,186	5/15/1984	Kelly, et al.			
	AH	4,553,222	11/12/1985	Kurland, et al.			
	AI	4,674,044	6/16/1987	Kalmus et al.			
	AJ	4,677,552	6/30/1987	Sibley, Jr.			
	AK	4,734,858	03/29/1988	Schlafly			
7	AL	4,739,478	4/19/1988	Roberts et al.			
	AM	4,742,457	5/3/1988	Leon et al.			
	AN	4,751,640	6/14/1988	Lucas et al.			
	AO	4,755,940	07/05/1988	Brachtle et al			
	AP	4,775,935	10/04/1988	Yourick			
	AQ	4,789,928	12/6/1988	Fujisaki			
	AR	4,795,890	01/03/1989	Goldman			
	AS	4,799,156	1/17/1989	Shavit et al.			
	AT	4,812,628	03/14/1989	Boston et al			
	AU	4,823,265	4/18/1989	Nelson			
	AV	4,864,516	09/05/1989	Gaither et al			
-	AW	4,876,648	10/24/1989	Lloyd			1
	AX	4,885,685	12/5/1989	Wolfberg et al.	_		
	AY	4,887,208	12/12/1989	Schneider et al			
	AZ	4,903,201	2/20/1990	Wagner	_		
	AAA	4,910,676	3/20/1990	Alldredge		1	

Examiner Signature

/William Allen/ (10/22/2008)

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Substitute Disclosure Form (PTO-1449)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13466-002016

Applicant

Filing Date

Application No. 10/824,322

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Thomas G. Woolston April 13, 2004

Group Art Unit

(37 CFR §1.98(b))

			0.5.140	ent Documents			Filing Date
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	If Appropriate
	ABB	4,922,521	05/01/1990	Krikke et al			
	ACC	4,935,870	06/19/1990	Burk et al		- 1	
	ADD	4,947,028	08/07/1990	Gorog			
	AEE	4,977,595	12/11/1990	Ohta et al			
	AFF	4,982,346	01/01/1991	Girouard et al			
	AGG	4,992,940	2/12/1991	Dworkin			
	АНН	5,021,953	6/4/1991	Webber et al.			
	AII	5,060,153	10/22/1991	Nakagawa			
	AJJ	5,063,507	11/5/1991	Lindsey et al.			
	AKK	5,077,607	12/31/1991	Johnson et al			
	ALL	5,077,665	12/31/1991	Silverman et al.			
	AMM	5,101,353	3/31/1992	Lupien et al.			
	ANN	5,136,501	8/4/1992	Silverman et al.			
	AOO	5,168,446	12/1/1992	Wiseman			
	APP	5,191,613	3/2/1993	Graziano et al.			6
	AQQ	5,193,056	3/9/1993	Boes			
	ARR	5,206,803	4/27/1993	Vitagliano et al.			
	ASS	5,220,501	06/15/1993	Lawlor et al			
	ATT	5,235,680	8/10/1993	Bijnagte			
	AUU	5,237,500	8/17/1993	Perg et al.			
	AVV	5,239,462	8/24/1993	Jones et al.			
	AWW	5,243,515	9/7/1993	Lee			
	AXX	5,247,575	09/23/1993	Sprague et al			
	AYY	5,258,908	11/2/1993	Hartheimer et al.			
	AZZ	5,262,942	11/16/1993	Earle			
	AAAA	5,280,305	01/18/1994	Monroe et al-		1	
	ABBB	5,280,422	01/18/1994	Moe et al		-	

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(37 CFR §1.98(b))

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. Application No. 13466-002016 10/824,322 Applicant Thomas G. Woolston

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Group Art Unit Filing Date April 13, 2004

9	U.S. Patent Documents							
Examiner Initial	Desig.	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate	
	ACCC	5,283,731	2/1/1994	Lalonde et al.				
	ADDD	5,285,383	2/8/1994	Lindsey et al.				
	AEEE	5,297,031	03/22/1994	Gutterman et al				
	AFFF	5,297,032	03/22/1994	Trojan et al				
	AGGG	5,305,195	04/19/1994	Murphy				
	АННН	5,305,200	4/19/1994	Hartheimer et al.				
	AIII	5,319,542	06/07/1994	King				
	AJJJ	5,323,315	6/21/1994	Highbloom				
- 2	AKKK	5,325,297	6/28/1994	Bird et al.				
	ALLL	5,329,589	7/12/1994	Fraser et al.				
	AMMM	5,334,822	08/02/1994	Sanford				
	ANNN	5,335,170	08/02/1994	Petteruti et al				
	A000	5,336,870	08/09/1994	Hughes et al				
	APPP	5,341,429	08/23/1994	Stringer et al				
	AQQQ	5,345,091	09/06/1994	Craig et al				
	ARRR	5,347,306	9/13/1994	Nitta				
	ASSS	5,347,632	9/13/1994	Filepp et al.				
	ATTT	5,351,186	09/27/1994	Bullock et al				
	AUUU	5,351,293	09/27/1994	Michener et al				
	AVVV	5,375,055	12/20/1994	Togher, et al.				
	AWWW	5,383,113	01/17/1995	Kight et al				
	AXXX	5,394,324	2/28/1995	Clearwater				
	AYYY	5,402,336	08/02/1994	Spiegelhoff et al				
	AZZZ	5,414,833	05/09/1995	Hershey et al				
	AAAAA	5,424,944	6/13/1995	Kelly et al.				
	ABBBB	5,426,281	6/20/1995	Abecassis				
	ACCCC	5,428,778	06/27/1995	Brookes				

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Sheet 4 of 30

Application No. Attorney's Docket No. U.S. Department of Commerce Substitute Form PTO-1449 Patent and Trademark Office (Modified) 13466-002016 10/824,322 Applicant Information Disclosure Statement Thomas G. Woolston by Applicant (Use several sheets if necessary) Group Art Unit Filing Date April 13, 2004 (37 CFR §1.98(b))

			U.S. Pate	ent Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ADDDD	5,448,625	9/5/1995	Lederman			
	AEEEE	5,451,998	9/19/1995	Hamrick			
	AFFFF	5,453,926	09/26/1995	Stroshcin et al			
	AGGGG	5,465,291	11/7/1995	Barrus et al.			
	АНННН	5,485,510	01/16/1996	Colbert			
	AIIII	5,521,815	05/28/1996	Rose			
	AJJJ	5,526,479	6/11/1996	Barstow et al.			
	AKKKK	5,553,145	09/03/1995	Micali			
	ALLLL	5,557,518	9/17/1996	Rosen			
	AMMMM	5,557,728	09/17/1996	Garrett et al			
	ANNNN		10/1/1996	Katz			
	A0000	5,584,025	12/10/1996	Keithley et al.			
	APPPP	5,592,375	1/7/1997	Salmon et al.			
	AQQQQ	5,594,732	1/14/1997	Bell et al.			
	ARRRR	5,598,557	01/28/1997	Doner et al			
	ASSSS	5,611,051	03/11/1997	Pirelli			
	ATTTT	5,625,818	04/29/1997	Zarmer et al			
	AUUUU	5,625,823	4/29/1997	Debenedictis et al.			
	AVVVV		05/13/1997	Micali			
	AWWWV	5,630,125	05/13/1997	Zellweger			
	AXXXX		08/12/1997	Houvener			
	AYYYY	5,664,110	09/02/1997	Green et al			
	AZZZZ	5,664,111	9/2/1997	Nahan et al.			
	AAAAA	5,664,115	9/2/1997	Fraser			
	ABBBBI	5,666,493	09/09/1997	Wojcik et al			
	ACCCCC		11/18/1997	Lupien et al			-
	ADDDDI		12/02/1997	Reisman			

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Substitute Disclosure Form (PTO-1449)

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			U.S. Pate	ent Documents			Filing Date
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	If Appropriate
- 1.	AEEEEE	5,694,551	12/02/1997	Doyle et al			
	AFFFFF	5,696,965	12/09/1997	Dedrick			
	AGGGGC	5,706,457	01/06/1998	Dwyer et al			
	АНННН	5,710,889	01/20/1998	Clark et al			
	AIIIII	5,715,314	2/3/1998	Payne et al.		- ×	
	AJJJJJ	5,717,989	02/10/1998	Tozzoli et al			
	AKKKKK	5,724,521	3/3/1998	Dedrick			
	ALLLLL	5,727,165	03/10/1998	Ordish et al			
	AMMMMI	5,745,882	4/28/1998	Bixler et al.			
	ANNNN	5,752,238	5/12/1998	Dedrick			
	A00000	5,771,291	01/23/1998	Newton et al			
	APPPPP	5,771,354	6/23/1998	Crawford			
	AQQQQQ	5,771,380	06/23/1998	Tanaka et al			
	ARRRRR		06/30/1998	Berent et al			
	ASSSSS	5,778,367	07/07/1998	Wesinger et al			-
	ATTTTT	5,794,207	8/11/1998	Walker et al.			1
	AUUUUU	5,794,210	8/11/1998	Goldhaber et al.			
	AVVVV	5,794,219	08/11/1998	Brown			
	wwww	5,799,285	08/25/1999	Klingman			
	AXXXX	5,803,500	09/08/1998	Mossberg			
	AYYYY	5,809,144	09/15/1998	Sirbu et al			
	AZZZZZ	5,818,914	10/6/1998	Fujisaki			
	AAAAA	5,826,244	10/20/1998	Huberman			
	ABBBBB		11/03/1998	Cameron et al			
	ACCCCC	5,835,896	11/10/1998	Fisher et al			
	ADDDDI		11/17/1998	Doyle et al.			
	AEEEEE	5,842,040	11/24/1998	Hughes et al.			

Date Considered Examiner Signature /William Allen/ (10/22/2008)

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(37 CFR §1.98(b))

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 13466-002016 Applicant

Application No. 10/824,322

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Thomas G. Woolston April 13, 2004

Filing Date

Group Art Unit -

(37 CFR §1.98(b))

			U.S. Pate	nt Documents			
Examiner Initial	Desig.	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
		5,845,265	12/1/1998	Woolston			
	AGGGGG	5,845,266	12/01/1998	Lupien et al			
	АНННН	5,850,442	12/15/1998	Muftic			
	AIIIII	5,864,823	1/26/1999	Levitan			•
	AJJJJJ	5,870,552	2/9/1999	Dozier et al.			
	AKKKKK	5,870,717	2/9/1999	Wiecha			
	ALLLLLI	5,872,848	02/16/1999	Romney et al			
	ммммм	5,873,069	02/16/1999	Reuhl et al			
	ANNNNN	5,878,400	3/2/1999	Carter, III			
	A00000	5,878,423	3/2/1999	Anderson et al.			
	APPPPPP	5,884,056	03/16/1999	Steele			
	AQQQQQ	5,890,138	3/30/1999	Godin et al.			
	ARRRRRI	5,896,379	4/20/1999	Haber			
	ASSSSS	5,903,647	5/11/2019	Ronning			
	ATTTTT		05/18/1999	Fraser et al			
	AUUUUU	5,905,975	05/18/1999	Ausubel			
	AVVVVV		6/22/1999	Lawrence			
	wwww	5,922,074	07/13/1999	Richard et al			
	AXXXXX		07/20/1999	Fethe			
	AYYYYY	5,933,813	8/3/1999	Teicher et al.			
	AZZZZZ	5,946,467	8/31/1999	Pathakis et al.			
	AAAAA	5,987,425	11/16/1999	Hartman et al.			
	ABBBBBB	5,991,739	11/23/1999	Cupps et al			
	ccccc	5,999,915	12/07/1999	Nahan et al			
	DDDDDI	6,006,201	12/21/1999	Berent et al			
	AEEEEEE	6,029,141	2/22/2000	Bezos et al.			
	AFFFFF	6,049,785	04/11/2000	Gifford			

/William Allen/ (10/22/2008)

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U.S. Department of Commerce Patent and Trademark Office

Application No. Attorney's Docket No. 10/824,322 13466-002016 Applicant

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Thomas G. Woolston

(37 CFR §1.98(b))

Group Art Unit Filing Date April 13, 2004

			U.S. Pate	ent Documents			
Examiner Initial	Desig.	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
Aintiai	GGGGGG	6,055,516	04/25/2000	Johnson et al			
	ннннн	6,055,573	4/25/2000	Gardenswartz et al.			
	AIIIIIII	6,061,660	5/9/2000	Eggleston et al.			
	AJJJJJJ	6,185,683	2/6/2001	Ginter et al.			
	KKKKKK	6,202,051	03/13/2001	Woolston			
	ALLLLL	6,205,432	3/20/2001	Gabbard et al.			
	иммими	6,259,699	7/10/2001	Opalka et al.			
	NNNNN	6,266,651	7/24/2001	Woolston			0
	000000	6,272,474	8/7/2001	Garcia			
	APPPPPP	6,282,516	8/28/2001	Giuliani			
	QQQQQQ	6,292,786	9/18/2001	Deaton et al.			
	RRRRRR	6,336,105	1/1/2002	Conklin et al.			
	ASSSSS	6,336,138	1/1/2002	Caswell et al.			-
	ATTTTTT	6,377,937	4/23/2002	Paskowitz			-
	บบบบบเ		5/28/2002	Biffar			
	vvvvv		7/2/2002	Rackson et al.			
	wwww	6,427,132	7/30/2002	Bowman-Amuah			

	Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Trans Yes	lation No	
	XXXXXX	0542298 A2	05/19/1993	Europe					
	YYYYY		8/23/1991	France					
	AZZZZZZ	2,102,606	02/02/1983	Great Britain					
	AAAAA	9300266	9/1/1994	Netherlands					
	вввввы	WO 91/16691	10/31/1991	WIPO					
	cccccc	WO 92/15174	9/3/1992	WIPO					
	DDDDDD	WO 95/16971	06/22/1995	WIPO	1				

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Attorney's Docket No. Application No. U.S. Department of Commerce Substitute Form PTO-1449 Patent and Trademark Office 10/824,322 13466-002016 (Modified) Applicant. Information Disclosure Statement Thomas G. Woolston by Applicant (Use several sheets if necessary) Group Art Unit Filing Date April 13, 2004 (37 CFR §1.98(b))

	For	eign Patent Do	cuments or P	ublished Foreign Pa	tent Ap	olications		
Examiner	Desig.	Document	Publication	Country or		1	Trans	lation
Initial	ID.	Number	Date	Patent Office	Class	Subclass	Yes	No
	EEEEEE	WO 96/34356	10/31/1996	WIPO				
	AFFFFFF	WO 97/19415	5/29/1997	WIPO (3 parts)				
	GGGGG	WO 97/37315	10/9/1997	WIPO				
	ннннн	WO 98/19259	5/7/1998	WIPO (2 parts)				
	AllillIII	WO 98/35469	8/13/1998	WIPO (2 parts)				
	AJJJJJJJ	WO 99/63461	12/09/1999	WIPO			1	
	KKKKKK	WO 99/06913	2/11/1999	WIPO				
	LLLLLL	WO 99/13417	3/18/1999	WIPO	-			
	MMMMN	WO 00/08578	2/17/2000	WIPO				
	NNNNN	WO 00/17797	3/30/2000	WIPO				
	000000	WO 00/62231	10/19/2000	WIPO				

	Other I	Documents (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
	APPPPPPPP	"[ADS FOR ANTIQUE SHOWS]," The Inside Collector, October 1992, Vol.3, No.2
	QQQQQQQ	
	RRRRRRRR	"Active Message Processing Messages as Messengers," (Illegible) Centre for Mathematics and Computer Science, Report CSR9035 August
	ASSSSSSS	"Ameritech Library Services Unveils Webpac at ALA," PR Newswire, June 27, 1995
	тттттт	"An Analysis and Recommendation for the Terms of the Reclaim Trading Credit," Report submitted to South Coast Air Quality Management District, Contract No. R-C93074, April 27, 1993
	ייייייייייייייייייייייייייייייייייייייי	"Anonymous Delivery of Goods in Electric Commerce," <u>IBM Technical Disclosure Bulletin,</u> March 1996, Volume 39, No. 3, p. 363-364.
	vvvvvvv	"Anonymous Delivery of Goods in Electronic Commerce (Continued)," <u>IBM Technical</u> Disclosure Bulletin, March 1996, Volume 39, No. 3, p.365-366
	wwwwww	
	xxxxxxx	
	YYYYYYY	Report, April 18, 1995, Vol.9, No.5, Simoa information, mc.
	ZZZZZZZZ	"Booklt!," Web Ventures 1996, http://www.webventures.com

Examiner Signatur	/William Allen/ (10/22/2008)	Date Considered
EVALUED, Initia	ale election considered. Draw line through citation if no	of in conformance and not considered. Include copy of this form with

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(37 CFR §1.98(b))

U.S. Department of Commerce Patent and Trademark Office

Application No. Attorney's Docket No. 10/824,322 13466-002016 Applicant Thomas G. Woolston

by Applicant (Use several sheets if necessary)

Information Disclosure Statement

Filing Date Group Art Unit April 13, 2004

	Other I	Documents (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
	AAAAAAA	"Booklt!," Web Ventures, Datalex Communications USA, Inc., Web Ventures, 1999, http://www.webventures.com
	вввввввв	"Business Plan for Intermart", Intermart, July 13, 1994
	ccccccc	"Business Plan for Intermart," Intermart, August 18, 1994, p. 1-27.
	DDDDDDDI	"Cashing in on Cyberspace Tribune's Big Stake in Interactive," Newslnc, November 27, 1995, Volume 7, No. 23
	EEEEEEEE	"Central Motor Auction in Strategic Alliance with MITAC: 2," Extel Examiner, October 28, 1994
	FFFFFFFF	"Checkfree Corp and Internet Provider Go For Secure Access," <u>Information Access Company</u> , February 1, 1995, Gralla Publications, U.S.
	GGGGGGG	December 21, 1994, Section 146, 342, Volume 2
	нннннн	"Cig Search BOTS," January 27, 2002
	A11111111	"Competition in the Dutch Flower Markets," November 6, 2001
	AJJJJJJJJ	"CompuServe's Big Push Will Impact Online Commerce," <u>Electronic Information Report</u> , August 8, 1995, Vol.9, No.15, Simba Information, Inc.
	KKKKKKK	"Computer museum holds an internet auction," CMP 2000; 5/9/94
	LLLLLLLL	April 22 Through May 21." Business Wire, February 10, 1995
	MMMMMM	"Earth Day on the Internet—Rockers and Performances Give Autographed Posters to Save the
	NNNNNNN	"EIT computer museum: first-ever internet auction produces results for the computer museum." Business Wire 4/28/94
	0000000	
	РРРРРРРР	SHARP, Amy, "From army knives to gold coins, collectors attend 'on-line' auctions," Memphis Business Journal v. 8(10) s1, p. 10, 7/28/86.
	QQQQQQQ	
	RRRRRRR	
	SSSSSSSS	"Interchange Message Specification for Debit and Credit Card Message Exchange Among Financial Institutions," American National Standard, May 16, 1988, American Bankers Association
	TTTTTTTT	
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Applicant

Information Disclosure Statement by Applicant (Use several sheets if necessary)

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Thomas G. Woolston
Filing Date Group Art Unit
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U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 13466-002016 Application No. 10/824,322 Applicant Thomas G. Woolston

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Information Disclosure Statement by Applicant		Applicant Thomas G. Woolston	
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Substitute Disclosure Form (PTC-1449)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. | Application No. | 13466-002016 | 10/824,322 | Applicant

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Thomas G. Woolston

(37 CFR §1.98(b))

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